

# Multi-objective Sizing of Solar-Wind-Hydro Hybrid Power System with Doubled Energy Storages Under Optimal Coordinated Operational Strategy

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**Abstract**—More and more attention has been paid to the high penetration of renewable energy in recent years. The randomness and intermittency of solar and wind energy make it an inevitable trend that renewables are coupled with energy storage technologies. Pumped hydro storage (PHS) is the most widely-used storage form in the power grid but the capacity is limited by geographic conditions. The concentrated solar power (CSP) plant with a thermal energy storage (TES) system can realize easier grid connections and effective peak shaving. Therefore, this paper proposes a solar-wind-hydro hybrid power system with PHS-TES double energy storages, and investigates the optimal coordinated operational strategy and multi-objective sizing. The optimal sizing problem which considers the minimum leveled cost of energy (LCOE) and loss of power supply probability (LPSP) as objectives is solved by multi-objective particle swarm optimization. Moreover, the seasonal uncertainties of renewables are considered by applying a scenario-based analysis using K-means clustering. Finally, a case study reveals the effectiveness of the coordinated operational strategy and double energy storages from the perspectives of economy and reliability. The comparisons of optimal sizing results show that the PV-Wind-CSP-PHS system decreases the LCOE by 19.1% compared to a PV-Wind-CSP system under the same LPSP, and reduces the LPSP compared to PV-Wind-PHS systems with limited reservoir capacity, which indicates that the proposed system with double energy storages has better economy and reliability performance compared to single storage.

**Index Terms**—Coordinated operation strategy, double energy storages, hybrid renewable energy system, multi-objective sizing optimization, solar-wind-hydro.

## I. INTRODUCTION

At present, two 10 million kilowatt level renewable energy demonstration bases are under construction in Hainan and Haixi, Qinghai, China [1]. The hybrid renewable energy system (HRES), based on the complementary characteristics of renewable energy, has attracted greater attention. HRES can

coordinate various renewable energy power generation forms to achieve complementary power generation, and reduce the adverse impact on the grid [2]. Sizing optimization is the key to the design of HRES and the basis of optimal scheduling. By optimizing the capacity ratio of all types of renewable energy, the reliable and stable power supply of the whole system can be realized. Furthermore, the system economy and energy utilization can be improved.

### A. Literature Review

The existing research studies on HRES primarily focus on wind-photovoltaic (PV) power generation systems [3]–[5], photovoltaic-concentrated solar power (PV-CSP) power generation systems [6]–[8], PV-wind-hydropower generation systems [9], PV-wind-storage (battery) power generation systems [10]–[14], etc. Energy storage systems are an important part of HRES, which can improve the energy utilization rate and suppress power fluctuation. PV and wind power, which are greatly affected by the random and intermittent resources, need to be equipped with large capacity energy storage devices [15]. Annette Evans *et al.* [16] studied and compared multiple energy storage forms of renewable energy. Among them, PHS is the most mature storage system with a lower investment risk and power generation cost; the battery storage (BS) system is characterized by fast response, high energy density but high costs and a short cycle life; the TES system has low cost and large capacity, but the conversion loss from heat to electricity is inevitable. A review of sizing optimization of the HRES according to different energy storage forms is addressed as follows.

PHS is a comparatively ideal energy storage form because of its low cost and mature technology. Nyeche. *et al.* [17] proposed a clean, reliable and affordable hybrid wind and solar power system with a PHS. A genetic algorithm was adopted to optimize the PHS capacity to minimize the difference between energy demand and supply. Wu *et al.* [18] established a capacity optimization model aiming at minimizing life cycle costs and considering the constraints of power supply reliability and water balance in the upper reservoir. The results demonstrated that the PV-wind-PHS system can supply reliable and stable power when operated off-grid and on-grid. Anagnostopoulos *et al.* [19] proposed a hybrid generation model of wind power and pumped storage energy for reducing wind curtailment. A numerical method was used to optimize the size of each part

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of the PHS station. The optimization results showed that the model improves the utilization ratio and cost-effectiveness of wind energy. Xiao *et al.* [20] investigated the power supply reliability and investment costs of a PV-wind-PHS hybrid energy system considering solar and wind curtailment. The results showed that, compared with a PV-PHS system and wind-PHS system, the PV-wind-PHS hybrid power system is the most cost-effective and reliable combination. However, none of the above studies considered the geographical constraints of a PHS station, which is inevitable in actual construction.

Batteries, which are characterized by fast response, relatively mature technologies and no natural condition limits, are widely applied in HRES. Ekran *et al.* [5] applied battery storage in a PV-wind hybrid power system and optimized the capacity with response surface methodology. Liu *et al.* [21] improved the utilization and overall energy efficiency of wind power by integrating it with a lithium battery. Wang *et al.* [22] studied an optimal capacity configuration model considering the operational constraints of a battery system and its wind power characteristics. The responsive sodium-sulfur batteries were used as energy storage units in this wind-storage hybrid system. The results indicated that the proposed model has better economic performance and stable power output. Liu [23] proposed an optimization model aiming at a minimum total system cost, the loss of power supply and the minimum surplus of power supply based on the battery charge and discharge constraints, the wind-solar complementary characteristics constraints and the number of power supply constraints. However, BS construction is limited from December 2019 according to the policy of the State Grid Corporation of China [24], in addition, the economy of BS is not as good as TES [25], [26].

A TES system is relatively cheap and easy for large-scale construction. The CSP plant coupled with TES is already available in the market [8]. Starke *et al.* [8] investigated the capacity optimization of a standalone CSP-PV hybrid system, which considered LCOE and the capacity factor as objectives and being optimized with a NSGA-II algorithm. The results demonstrated that the CSP-PV hybrid system has higher capacity factors than a single PV plant and lower LCOE than a single CSP plant. Yang *et al.* [27] validated the feasibility and reliability of an electric heater in a wind-CSP system, which can convert the excess electricity into thermal energy stored in TES for supplying the shortage. Zhai *et al.* [26] analyzed the economic performances of a PV-CSP hybrid power system which applied low-cost TES as a storage unit, rather than expensive batteries. The results showed that the economy of the system is better than a conventional PV-CSP system. However, the TES generates electricity by power block based on a steam Rankine cycle and the conversion efficiency from heat to electricity is about 40% [25], which will result in greater energy conversion losses. Nevertheless, the conversion efficiency of PHS is about 80% [28]. Therefore, developing a TES-PHS hybrid storage is helpful to improve the system's overall efficiency.

There is only one form of energy storage in all the above HRESs, whereas combinations of hybrid storage are likely to be more cost-effective than a single storage technology [29]. Different energy storage has different characteristic discharge

durations. Alaaeldin *et al.* [30] studied a double storage system applied in a HRES, in which batteries are served as the backup energy storage of PHS. Adriana *et al.* [31] proposed a PV-CSP hybrid system with hybrid BS-TES storage units, in which TES utilization hours and the battery size were studied and the performance of the power plant was analyzed according to the capacity factor and LCOE. The results showed that the capacity factor of the PV-CSP hybrid power system increased by 5–6% compared to the PV-BS plant with the same LCOE. Yu *et al.* [32] optimized the capacity of batteries and super capacitors based on their complementary characteristics using a genetic algorithm, which considered the minimum system cost as the optimization objective. The results showed that the wind-solar hybrid power system with hybrid energy storage could better satisfy the scheduling requirements. Obviously, it is very meaningful to use multiple energy storage in a HRES.

Uncertainty analysis of the input variables is necessary to simulate the actual HRES operations. Zeynali *et al.* [33] studied two-stage stochastic programming to handle the load demand, price, and solar irradiation uncertainties in a smart home application. Wang *et al.* [34] solved the uncertainty problem with a Scenario-dominance based NSGA- method. However, there were only two scenarios considered in this research. In terms of our current literature research, few studies took into account the seasonal effect on solar, and wind resources in uncertainty analysis.

## B. Aims and Contributions

According to the above analysis, this study proposes a new solar-wind-hydro hybrid power system with thermal energy storage and pumped hydro storage (PV-wind-CSP-PHS). The contributions of this paper are as follows:

- 1) The coordinated operational strategy of double energy storages is proposed, in which the coordinated operational strategy is optimized by considering the charging and discharging priority of TES and PHS.
- 2) The multi-objective robust sizing optimization of the proposed system, based on an optimal coordinated operation strategy, is investigated while the seasonal uncertainties of renewables are considered in system operations based on a scenario-based analysis using K-means clustering.
- 3) The effectiveness of double energy storages is validated by comparing with single storage, which indicates that the proposed system with double energy storages has better economy and reliability performance.

The remainder of this paper is organized as follows: The model of the PV-wind-CSP-PHS hybrid power system and uncertainty analysis are introduced in Section II. The sizing optimization model is established to minimize the LCOE and LPSP, and the optimization process is presented in Section III. Section IV and Section V are the analysis through a case study and conclusions, respectively.

## II. MODELING OF HYBRID POWER SYSTEM

### A. Structure Model

In order to provide continuous, stable and high-quality clean energy for the power grid, this paper proposes a PV-wind-

CSP-PHS system. The simplified structure of the hybrid power system is shown in Fig. 1.

This system contains a wind power subsystem, PV power subsystem, CSP subsystem, PHS subsystem and electric heater. PV and wind power generation are primarily used to meet the load demand while the CSP plant and PHS station play a regulating role. Specifically, when the supply exceeds the load demand, the surplus power is absorbed by the hybrid storage. Otherwise, the deficiency power is supplied by the double storages.

### B. Uncertainty Analysis of Solar and Wind Resources

A stochastic scenario-based analysis, using the K-means clustering algorithm, is applied to analyze the uncertainties of renewable energy resources. The K-means clustering algorithm iteratively classifies the scenarios into different typical clusters to minimize the square error function [35]. In this paper, the Squared Euclidean distance is adopted to generate typical scenarios, defined as follows:

$$d(x, c_k) = \sum_{k=1}^K \sum_{x \in C_k} \|x - c_k\|^2 \quad (1)$$

where  $K$  is the number of clusters,  $x$  is the scenarios, and  $c_k$  is the center of the  $k^{\text{th}}$  cluster denoted by  $C_k$ .

Due to the significant impact of seasons on solar and wind energy resources, the scenario analysis method is specifically investigated for different seasons. The specific procedures of K-means clustering are as follows:

1) The daily solar radiation and wind speed are taken as a scenario. Divide the 365 scenarios of a year into four parts according to seasons.

2) Randomly select  $K$  initial cluster centers from all scenarios in each season as the typical scenarios.

3) Minimize the Euclidean distance from each scenario to its cluster center.

4) The cluster centers are recalculated until the Euclidean distance from each scenario to its closest cluster center is converged.

5) The probability of a typical scenario is the rate between the number of each typical scenario groups and all scenarios.

A series of typical scenarios and their occurrences probability are obtained based on above procedures according to the historical data of the study area. In Fig. 2, the thin lines represent the whole scenarios for each season and the thick lines of color represent the typical scenarios of solar radiation and wind speed.

Stochastic curves of resources in one year are obtained by selecting 365 different times randomly based on the above typical scenarios and corresponding probability. The curves in  $N$  years are obtained to prepare data for the application of robust optimization.

### C. Mathematical Model

The following is the mathematical model of each subsystem.

According to the rated power of the wind turbine and wind speed uncertain parameters, the output power of the wind turbine is calculated by following formula [23], [36]:

$$P_{\text{wind}} = \begin{cases} 0 & v < v_i \text{ or } v > v_o \\ f(v) & v_i \leq v \leq v_r \\ P_r & v_r \leq v \leq v_o \end{cases} \quad (2)$$

$$f(v) = \frac{v^3 - v_i^3}{v_r^3 - v_i^3} P_r \quad (3)$$

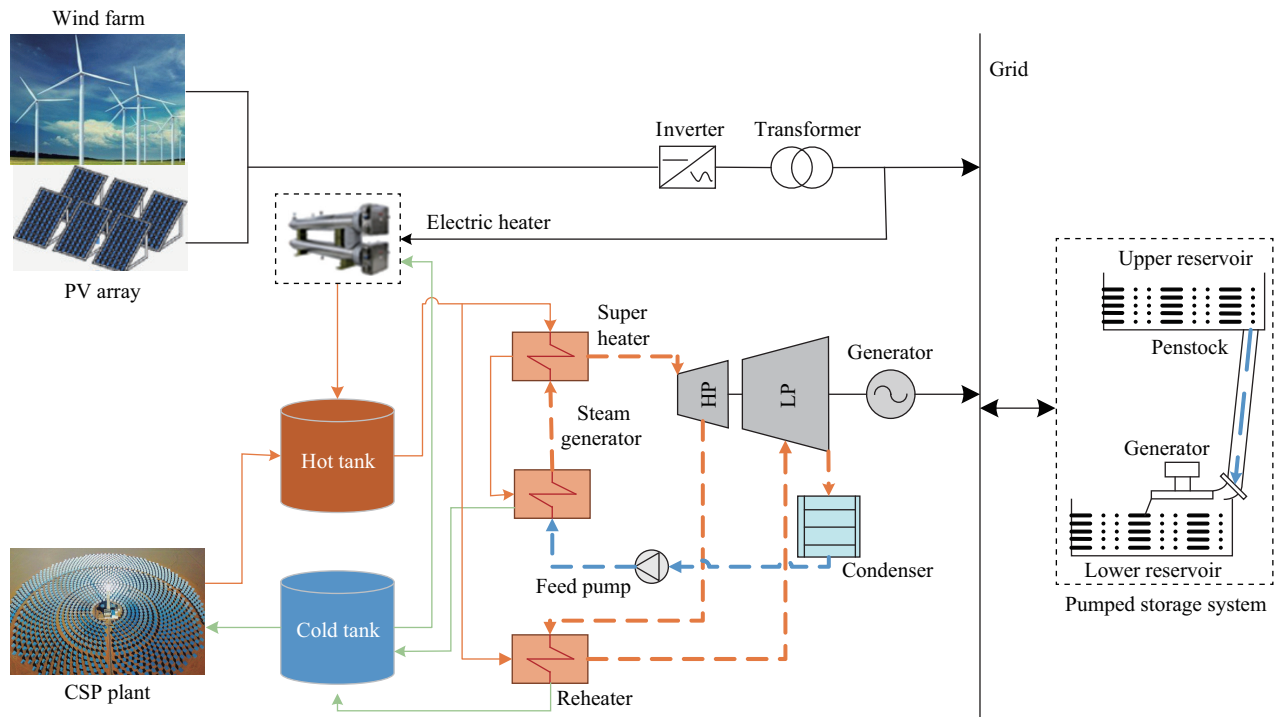


Fig. 1. PV-wind-CSP-PHS hybrid power system.

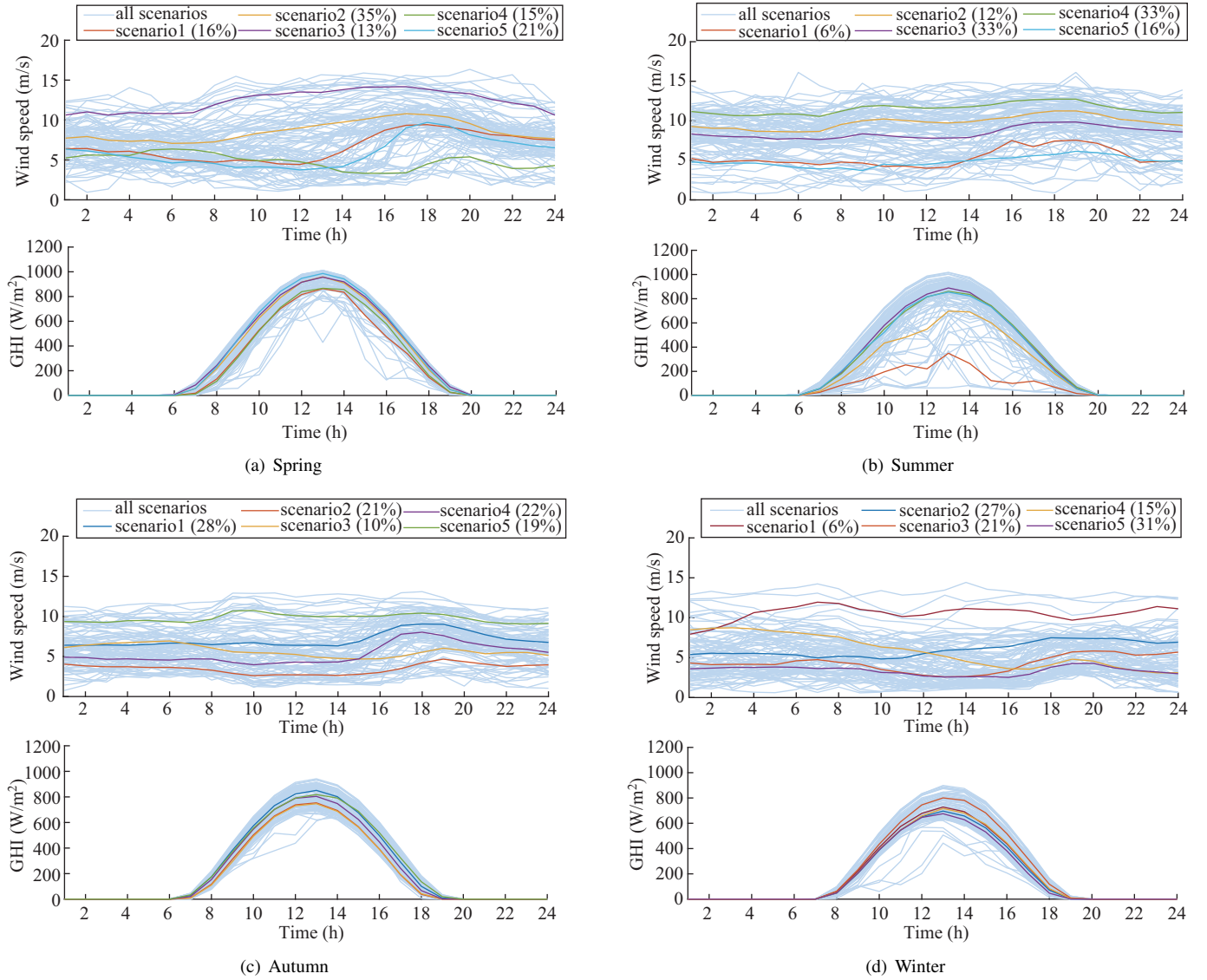


Fig. 2. Typical scenarios of renewable energy and their occurrence probability.

where  $P_{\text{wind}}$  is the wind turbine output power, kW;  $P_r$  is the rated power of wind turbine, kW;  $v_i$ ,  $v_o$  are the cut-in, cut-out speeds respectively, m/s;  $v_r$  is the wind turbine rated speed, m/s;  $v$  is the predicted wind speed, m/s.

The output of PV is primarily related to solar radiation and temperature. The simplified model of PV, which considers the uncertainty of solar radiation, is shown as follows [36], [37]:

$$P_{\text{pv}} = P_{\text{STC}} \cdot (1 + \beta (T - T_{\text{STC}})) I / I_{\text{STC}} \quad (4)$$

$$T = T_{\text{amb}} + 30I / I_{\text{STC}} \quad (5)$$

where  $P_{\text{pv}}$  is the output power of photovoltaic cells, kW;  $P_{\text{STC}}$  is the maximum output power under the standard test conditions (STC), kW;  $I_{\text{STC}}$  is the STC solar irradiance, 1000 W/m²;  $I$  is the predicted solar irradiance, W/m²;  $\beta$  is the temperature coefficient, taken as  $-0.47\%/K$ ;  $T$  is the surface operation temperature of the photovoltaic cells, °C;  $T_{\text{STC}}$  is the STC temperature, 25 °C;  $T_{\text{amb}}$  is the ambient temperature, °C.

The output power of the CSP plant is calculated by the minute-scale performance evaluation software independently developed by the authors' research group. The main mathematical models are as follows.

(1) Heliostat field model:

$$q_h = S_{\text{area}} \cdot DNI \cdot \eta_1 \quad (6)$$

$$\eta_1 = \eta_{\text{foc}} \cdot \eta_{\text{ref}} \cdot \eta_{\text{hel}} \cdot \eta_{\text{wind}} \quad (7)$$

where  $S_{\text{area}}$  is the effective area of heliostats, kW;  $DNI$  is the direct normal irradiance, kW/m²;  $\eta_1$  is the heliostat field efficiency;  $\eta_{\text{foc}}$  is the focusing efficiency;  $\eta_{\text{ref}}$  is the mirror reflection efficiency;  $\eta_{\text{hel}}$  is the optical efficiency;  $\eta_{\text{wind}}$  is the wind influence.

(2) Receiver model

Energy balance equations outside tube:

$$q_{\text{in}} = q_{\text{inc}} - (q_{\text{ref}} + q_{\text{rad}} + q_{\text{conv}}) \quad (8)$$

$$q_{\text{inc}}(x) = D_{\text{tube}} \cdot n_t \int_{x_0}^{x_0 + \Delta x} P''_{\text{field}}(x) dx \quad (9)$$

$$q_{\text{ref}}(x) = (1 - \alpha) D_{\text{tube}} \cdot n_t \int_{x_0}^{x_0 + \Delta x} P''_{\text{field}}(x) dx \quad (10)$$

$$q_{\text{rad}}(x) = \sigma \varepsilon \pi \cdot \frac{D_{\text{tube}}}{2} \cdot F_{t,s} \cdot n_t \int_{x_0}^{x_0 + \Delta x} [T_s^4(x) - T_{\text{amb}}^4] dx \quad (11)$$

$$q_{\text{conv}}(x) = h_m \cdot D_{\text{tube}} \cdot n_t \int_{x_0}^{x_0 + \Delta x} [T_s^4(x) - T_{\text{amb}}^4] dx \quad (12)$$

where  $q_{\text{in}}$  is the inlet heat of the collector;  $q_{\text{inc}}$  is the incident radiation;  $x$  is the thickness of the tube;  $q_{\text{ref}}$  is the tube surface reflection;  $q_{\text{rad}}$  is the radiation heat transfer;  $q_{\text{conv}}$  is the external convection heat transfer.  $D_{\text{tube}}$  is the tube diameter;  $n_t$  is number of tubes per panel;  $P''_{\text{field}}$  is the dense distribution of energy flow;  $1 - \alpha$  is the reflectivity;  $\varepsilon$  is the emissivity and  $\sigma$  is the Stefan-Boltzmann constant;  $F_{t,s}$  is the angle factor;  $T_s(x)$  and  $T_{\text{amb}}$  are the external surface temperature and ambient temperature of the heat receiver;  $h_m$  is the mixed convection heat transfer coefficient.

Heat transfer equation in tube:

$$q_{\text{in}} = (T_s - T_{\text{HTF}}) \cdot \frac{1}{R_{\text{cond}} + R_{\text{conv}}} \quad (13)$$

where  $T_{\text{HTF}}$  is the average temperature of transfer fluid;  $R_{\text{cond}}$  and  $R_{\text{conv}}$  are the heat loss of heat conduction and convection between the inner wall of the tube and the transfer fluid.

### (3) TES model

The TES system uses the cold tank and hot tank storage mode with a molten salt heat transfer fluid. The state model of the thermal storage system is shown as follows:

$$\frac{dM}{dt} = m_i - m_o \quad (14)$$

$$C_p \frac{d(MT)}{dt} = m_i C_p T_h - m_o C_p T - (UA)_t (T - T_{\text{amb}}) \quad (15)$$

where  $M$  is the instantaneous mass of the transfer fluid in the tank;  $t$  is the temperature of the transfer fluid;  $m_i$  and  $m_o$  are the net inlet flow rate and net outlet flow rate;  $C_p$  is the specific heat of the transfer fluid;  $T$ ,  $T_h$  are the instantaneous temperatures of the transfer fluid and inlet transfer fluid temperature;  $(UA)_t$  is the total heat loss coefficient of the tank.

### (4) Turbine model

In this part, a typical turbine unit model with eight stage extraction is described. On startup, the parameters, such as main steam flow, temperature and pressure are calculated according to the turbine starting curve under different starting conditions. The turbine start-up operational stage is decided according to the inlet temperature.

The transformation models from electricity to water potential energy of the PHS system [18], [38]:

$$W_i(t) = \frac{\eta_{\text{pump}} E_p(t)}{\rho g h} \quad (16)$$

$$\eta_{\text{pump}} = \eta_p \cdot \eta_m \cdot \eta_{\text{pipe}} \quad (17)$$

where  $W_i(t)$  is the pumping capacity of water pump in  $t$  period,  $\text{m}^3$ ;  $E_p(t)$  is the power consumption in  $t$  period,  $\text{kWh}$ ;  $\rho$  is the water density,  $1000 \text{ kg/m}^3$ ;  $g$  is the gravity coefficient,

$9.81 \text{ m/s}^2$ ;  $h$  is the mean head,  $\text{m}$ ;  $\eta_p$ ,  $\eta_m$ ,  $\eta_{\text{pipe}}$  are the efficiencies of pump, motor, and pipe and taken as 0.92, 0.95, 0.95 respectively.

The transformation model from water potential energy to electricity:

$$E_g(t) = \eta_{\text{gen}} \cdot \rho g h \cdot W_o(t) \quad (18)$$

$$\eta_{\text{gen}} = \eta_{\text{tr}} \cdot \eta_{\text{gr}} \cdot \eta_{\text{pipe}} \quad (19)$$

where  $E_g(t)$  is the output power of hydraulic turbine in  $t$  period,  $\text{kWh}$ ;  $W_o(t)$  is the water consumption for power generation in  $t$  period,  $\text{m}^3$ ;  $\eta_{\text{tr}}$ ,  $\eta_{\text{gr}}$ , and  $\eta_{\text{pipe}}$  are the efficiencies of turbine, generator, and pipe and taken as 0.89, 0.95, 0.95 respectively.

## III. CAPACITY OPTIMIZATION OF HYBRID POWER SYSTEM

### A. Objective Function

The optimization objectives of this paper are minimizing the Levelized Cost of Energy (LCOE) and Loss of Power Supply Probability (LPSP).

#### 1) LCOE

$$LCOE = \frac{IC_w + IC_{\text{pv}} + IC_{\text{csp}} + IC_{\text{phs}} + \sum_{n=1}^N \frac{AC_w + AC_{\text{pv}} + AC_{\text{csp}} + AC_{\text{phs}}}{(1+i)^{n-1}}}{\sum_{n=1}^N \frac{E_{\text{sys}}(1-d_{\text{sys}})^{n-1}}{(1+i)^{n-1}}} \quad (20)$$

where  $IC_w$ ,  $IC_{\text{pv}}$ ,  $IC_{\text{csp}}$  and  $IC_{\text{phs}}$  are the initial cost of wind, PV, CSP and PHS system respectively,  $\$/\text{kW}$ ;  $AC_w$ ,  $AC_{\text{pv}}$ ,  $AC_{\text{csp}}$  and  $AC_{\text{phs}}$  are the operation and maintenance costs of the above system respectively,  $\$/\text{kW}$ ;  $E_{\text{sys}}$  is the first-year power generation of the system,  $\text{kWh}$ ;  $d_{\text{sys}}$  is the degradation rate;  $i$  is the discount rate;  $N$  is the life cycle [25], [26].

#### 2) LPSP

In this PV-wind-CSP-PHS hybrid power system, PV and wind are responsible for meeting the load demand of the system. When wind and photovoltaic power fails to meet the load demand and the PHS system and TES system cannot either supply the deficient electricity, the system load cannot be satisfied. Therefore, the variable of LPSP is introduced in this paper. LPSP indicates the proportion of the unmet power demand to the total power demand in a year, which is expressed as follows [23]:

$$LPSP = \frac{\sum_{t=1}^{m_l} |P_l(t) - P_w(t) - P_{\text{pv}}(t) - P_{\text{csp}}(t) - P_{\text{phs}}(t)|}{\sum_{t=1}^{8760} |P_l(t)|} \quad (21)$$

where  $P_l(t)$  is the power load at the sampling point  $t$ ,  $\text{kW}$ ;  $P_w(t)$ ,  $P_{\text{pv}}(t)$ ,  $P_{\text{csp}}(t)$  and  $P_{\text{phs}}(t)$  are the output power of wind, PV, CSP and PHS system at the sampling point  $t$ ,  $\text{kW}$ ;  $m_l$  is the number of unmet load sampling points.

### 3) Objective Function

In the system with good robustness, the variables will ensure achieving the optimal solution under the worst case [39]. In order to improve the robustness of simulation results, the maximum objective functions value of stochastic data in  $N$  years is adopted in this paper. The optimization objective function is as follows:

$$\begin{cases} \min_{j \in N} \max LCOE_j(\xi, x) \\ \min_{j \in N} \max LPSP_j(\xi, x) \\ x = (C_W, C_{PV}, C_{CSP}, C_{PHS}) \end{cases} \quad (22)$$

where  $\xi$  is the random vector, which represents the above scenarios and corresponding probability distribution;  $C_W$  is the wind farm capacity;  $C_{PV}$  is the photovoltaic plant capacity,  $C_{CSP}$  is the concentrated solar power plant capacity;  $C_{PHS}$  is the pumped hydro storage station capacity.

In the objective function,  $N$ -year LCOE and LPSP are obtained from the operation simulation model in part C Fig. 3(b). Then, the maximum value of LCOE and LPSP are found as the fitness function. Finally, the MOPSO algorithm explained in part C Fig. 3(a) returns a set of Pareto optimal solutions. These solutions may make the system have better robustness since they were obtained considering the resource uncertainty and the worst-case scenario in the optimization process.

## B. Constraints

### 1) Constraints of the TES System

$$W_{tes}^{\min} \leq W_{tes}(t) \leq W_{tes}^{\max} \quad (23)$$

$$P_{tes}^{\min} \leq P_{tes}(t) \leq P_{tes}^{\max} \quad (24)$$

where  $W_{tes}^{\max}$ ,  $W_{tes}^{\min}$  are the maximum and minimum storage capacities of the TES system, kWh;  $P_{tes}^{\max}$ ,  $P_{tes}^{\min}$  are the maximum and minimum outputs of the TES system, kW.

### 2) Power Block Constraints

The operation of the power block is limited by the maximum power  $P_{tes}^{\max}$  and minimum power  $P_{tes}^{\min}$ , and the constraint is as follows [27]:

$$P_{t,min}^{PB} \leq P_t^{PB} \leq P_{t,max}^{PB} \quad (25)$$

### 3) Constraints of PHS System

The construction of the PHS station is usually restricted by the geographical location and natural resources. Thus, this paper limits the PHS system under maximum developed capacity. Constraints on storage capacity of the upper reservoir (UR) is:

$$V_{min}^{UR} \leq V_{UR} \leq V_{max}^{UR} \quad (26)$$

where  $V_{min}^{UR}$ ,  $V_{max}^{UR}$  are the minimum and maximum water storage capacities of the UR, m<sup>3</sup>;  $V_{UR}$  is the capacity of UR, m<sup>3</sup>.

## C. Optimization

### 1) Optimization Algorithm

A multi-objective optimization model, considering minimizing LCOE and LPSP as optimization objectives, is established

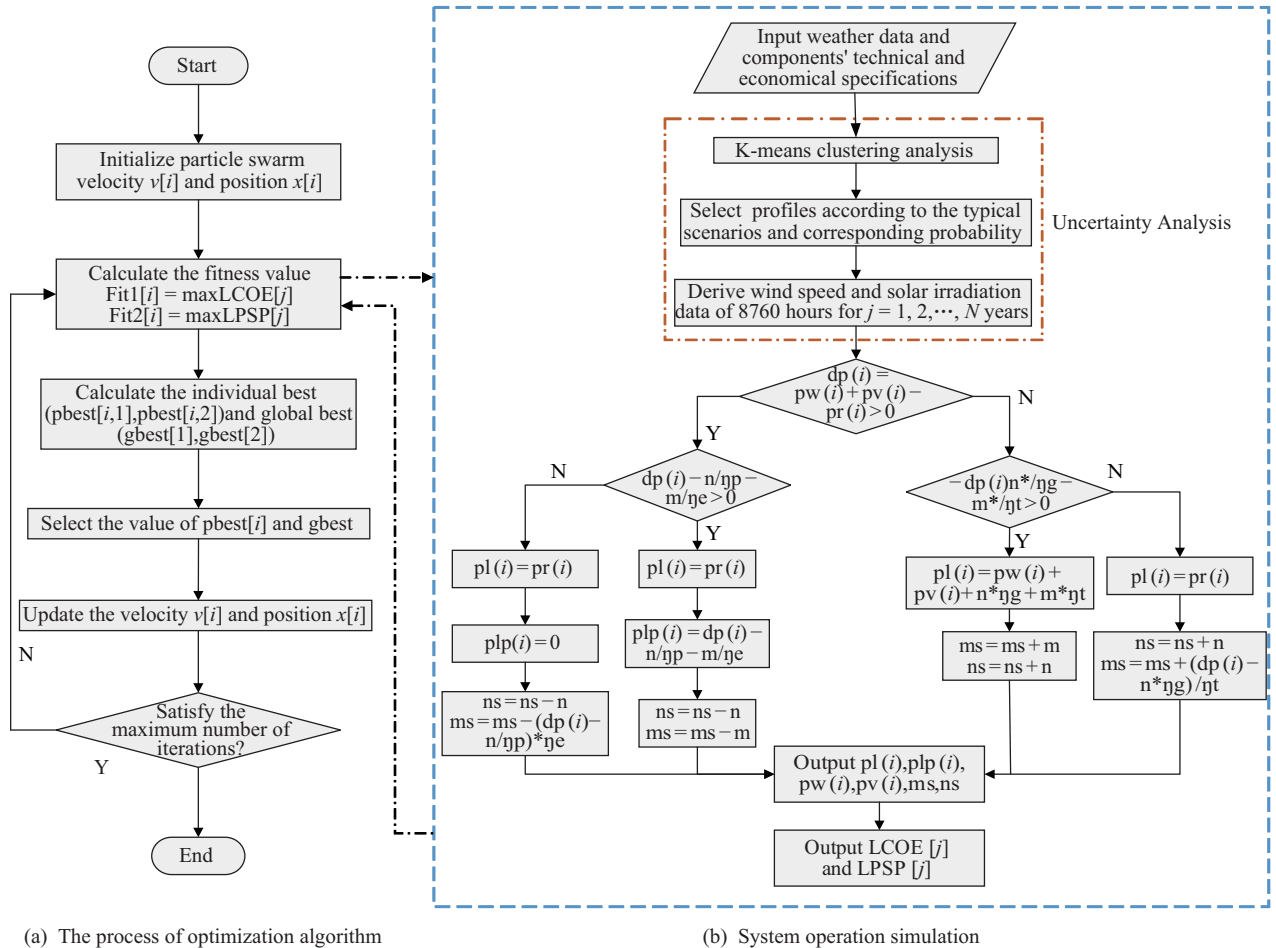


Fig. 3. Flowchart of the proposed probabilistic simulation-based optimization approach.

and optimized by the MOPSO in this paper. Compared with other algorithms, the MOPSO is conducive to obtaining the optimal solution of multi-objectives [40], [41]. The process of the optimization algorithm is shown in Fig. 3(a).

## 2) Operation Strategy

The crucial objective of this paper is to investigate the optimal coordinated operational strategy of TES and PHS, thus effectively regulating the volatile power output of PV and wind power to improve the system reliability. The coordinated operational strategy is optimized by considering the charging and discharging priorities of TES and PHS, which has a great influence on system performance. There are four coordinated operational strategies to be optimized according to different charging and discharging priorities, which are as follows:

- 1) Charging priority and discharging priority both for PHS;
- 2) Charging priority for PHS, discharging priority for TES;
- 3) Charging priority for TES, discharging priority for PHS;
- 4) Charging priority and discharging priority both for TES;

The coordinated operational strategies are based on rule-based algorithms, the strategy (1) is introduced in detail and other three strategies are almost the same except for the charging and discharging priorities.

Strategy (1): When the power output of PV and wind power is larger than the load demand, PHS first charges to absorb the excess energy and TES supplies the supplementary capacity for PHS. When the power output of PV and wind power is lower than the load demand, PHS first discharges to provide the deficiency and TES also supplies the supplementary energy for PHS. The simplified flow chart of strategy (1) is shown in Fig. 3(b).

In this flow chart:  $pw(i)$ ,  $pv(i)$ ,  $pl(i)$ ,  $pr(i)$ ,  $plp(i)$  represent the wind, PV output power, system on-grid power, real-time load, and system power consumption at period  $i$  respectively,  $dp(i)$  represents the differences between system power supply and load.  $m$  is the charge and discharge capacities of the TES system for period  $i$ ,  $ms$  is the current capacity of the TES system,  $ms\_max$  represents the maximum TES capacity, and the system always meets  $ms \leq ms\_max$ .  $n$  is the charge and discharge capacities of the PHS system for period  $i$ ,  $ns$  is the current capacity of the PHS system,  $ns\_max$  represents the maximum PHS capacity and the system always meets  $ns \leq ns\_max$ .

1)  $dp(i) > 0$  and  $dp(i) - n/\eta_p > 0$ , it means that the output power of PV and wind are greater than the load demand, and the redundant power cannot be completely consumed by the PHS system.

2)  $dp(i) > 0$  and  $dp(i) - n/\eta_p < 0$ , it means that the output power of wind and PV are greater than the load demand, and the redundant power can be completely consumed by the PHS system. So, the PHS system absorbs the excess electricity, and the TES system only needs to store the heat collected by the solar field.

3)  $dp(i) < 0$  and  $dp(i) - n/\eta_p > 0$ , it means that the output power of wind and PV are less than the load demand, and the PHS station cannot fully supplement the deficient load. So, the TES system is needed to release heat for power generation.

4)  $dp(i) < 0$  and  $dp(i) - n/\eta_p < 0$ , it means that the output power of wind and PV are less than the load demand, and the PHS station can fully supplement the rated load.

## IV. CASE STUDY

### A. Main Parameters of Power Supplies

The main parameters of the wind turbine and PV panel are displayed in Tables I and II. The main parameters of the CSP plant and PHS station are shown in Tables III and IV.

TABLE I  
MAIN PARAMETERS OF WIND TURBINE [30]

| Parameters                           | Value     | Unit  |
|--------------------------------------|-----------|-------|
| Model                                | UP77-1500 | —     |
| Rated power                          | 1500      | kW    |
| Cut-in speed                         | 3         | m/s   |
| Rated speed                          | 11.5      | m/s   |
| Cut-out speed                        | 25        | m/s   |
| Initial cost                         | 1200      | \$/kW |
| Operation and maintenance (O&M) cost | 120       | \$/kW |

TABLE II  
MAIN PARAMETERS OF PV PANEL [30]

| Parameters            | Value   | Unit             |
|-----------------------|---------|------------------|
| PV panel model        | SFM260W | —                |
| Max power             | 260     | W                |
| Operating temperature | -40~80  | °C               |
| Irradiance            | 1000    | W/m <sup>2</sup> |
| Initial cost          | 867     | \$/kW            |
| O&M cost              | 16      | \$/kW            |

TABLE III  
MAIN PARAMETERS OF CSP PLANT AND TES SYSTEM [26]

|            | Parameters                 | Value | Unit  |
|------------|----------------------------|-------|-------|
| CSP plant  | Heliostat field efficiency | 0.60  | %     |
|            | Receiver efficiency        | 0.5   | %     |
|            | Power block efficiency     | 0.397 | %     |
|            | Initial cost               | 3600  | \$/kW |
|            | O&M cost                   | 66.7  | \$/kW |
| TES system | Thermal storage capacity   | 10    | h     |
|            | TES Initial cost           | 67    | \$/kW |
|            | TES O&M cost               | 8     | \$/kW |

TABLE IV  
MAIN PARAMETERS OF PHS STATION [38]

| Parameters           | Value  | Unit               |
|----------------------|--------|--------------------|
| Rated power          | 10000  | kW                 |
| UR capacity          | 684684 | kWh                |
| Pumping co-efficient | 7.6    | m <sup>3</sup> /kW |
| Turbine co-efficient | 0.088  | kWh/m <sup>3</sup> |
| Mean head            | 40     | m                  |
| Storage duration     | 7      | h                  |
| Initial cost         | 667    | \$/kW              |
| O&M cost             | 16     | \$/kW              |

### B. Output Power

The solar and wind energy resource data of Karachi, Pakistan (25°04'N, 67°56'E) are used in this paper. Due to the existence of the reduction coefficient, PV and wind

output cannot generate at the nominal capacity. The unitized (normalized to maximum 1 MW) power curve of the wind farm and PV plant based on actual energy resource data in this area are displayed in Figs. 4 and 5.

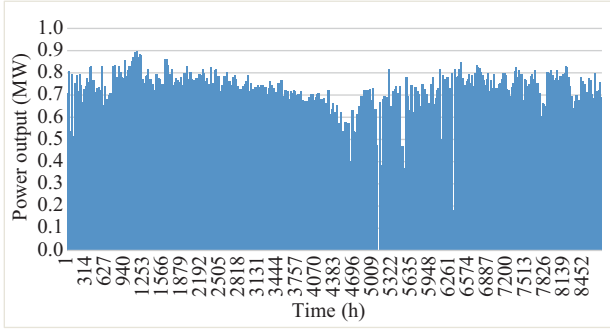


Fig. 4. The unitized power curve of PV plant.

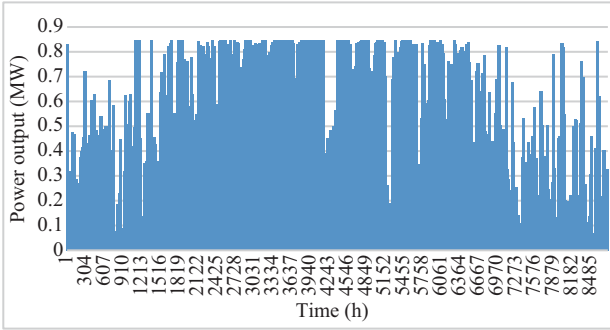


Fig. 5. The unitized power curve of wind farm.

The unitized power curve of the CSP plant without the TES system is shown in Fig. 6.

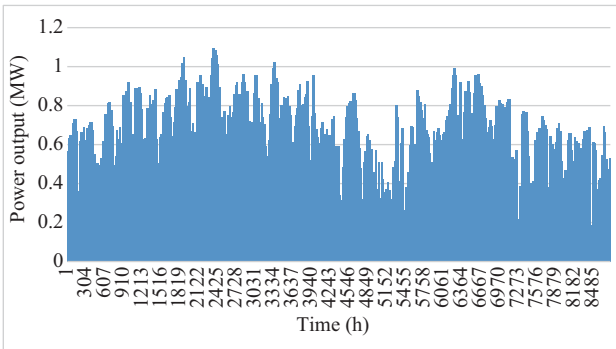


Fig. 6. The unitized power curve of CSP plant (without TES).

### C. Algorithm Validation

The Hypervolume (HV) usually is applied to evaluate the performance of multi-objective optimization algorithms, which is the volume surrounded by the non-dominated solution sets and a reference point. The larger HV value indicates the better the convergence and diversity of the algorithm [37].

In this paper, MOPSO is applied to obtain the optimal solution set and the MOEA/D (Multi Objective Evolutionary

Algorithm based on Decomposition) and NSGA-II (Non-dominated Sorting Genetic Algorithm-II) are the reference algorithms. The average HV value and computing time of MOPSO, MOEA/D and NSGA-II for 10 runs are calculated and displayed in Table V. It is easy to see that the HV value of MOPSO is larger than MOEA/D, which shows that MOPSO has better convergence and diversity performance than MOEA/D. The computing time of MOPSO is shorter than MOEA/D and NSGA-II, which shows that MOPSO has faster computation efficiency. Therefore, the MOPSO algorithm has relatively better comprehensive performance.

TABLE V  
COMPARISONS BETWEEN ALGORITHMS

| Index    | MOPSO  | MOEA/D | NSGA-II |
|----------|--------|--------|---------|
| HV       | 0.5874 | 0.5592 | 0.5936  |
| Time (s) | 68.64  | 128.16 | 146.88  |

### D. Optimization Results

The parameters of the MOPSO algorithm are as follows: inertia weight  $w = 0.5$ , learning factor  $c_1 = 1.6$ ,  $c_2 = 2.0$ , search space dimension  $D = 4$ , maximum number of iterations  $M = 50$ , number of initialization particles  $N = 1,000$ . The Pareto optimal solution set obtained by the MOPSO algorithm is shown in Fig. 7. In order to meet the load demand, the final LPSP is bound to less than 10%. Due to the limitation of the rated capacity of each power source and the geographical location of the PHS station, the exact values of points A, B, C cannot be obtained, so the final optimization results are determined near these points. The specific values of LCOE, LPSP and the corresponding power plants' capacities are presented in Table VI. The thermal storage capacity of TES system is 10 h. The rated power load of the system is 100 MW.

The power output curve of the hybrid power system in a year when LPSP is 9.89% is presented in Fig. 8. It can be

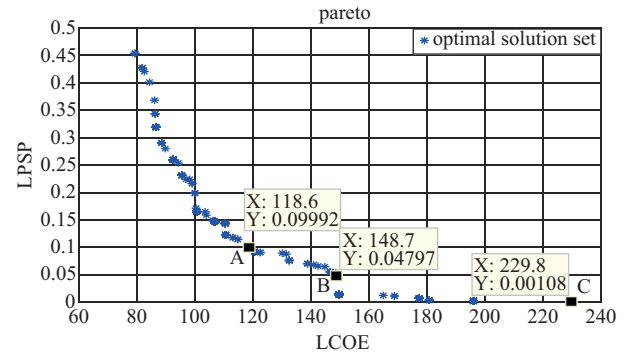


Fig. 7. Pareto optimal solution set.

TABLE VI  
OPTIMIZATION RESULTS

| LPSP (%) | LCOE (\$/MWh) | $C_W$ (MW) | $C_{PV}$ (MW) | $C_{CSP}$ (MW) | $C_{PHS}$ (MW) |
|----------|---------------|------------|---------------|----------------|----------------|
| 9.89     | 118.6         | 120        | 392.6         | 25             | 130            |
| 4.84     | 148.5         | 196.5      | 416           | 43             | 130            |
| 0.11     | 229.7         | 342        | 582.4         | 93             | 120            |

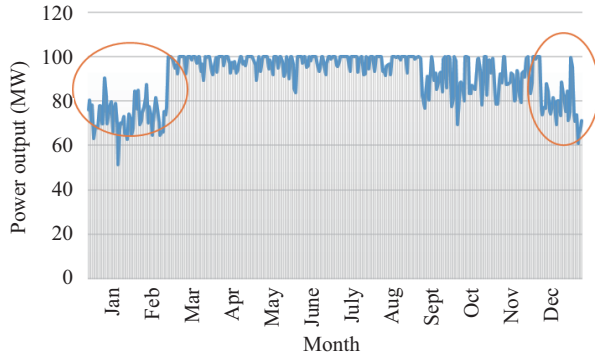


Fig. 8. Power output curve of hybrid power system.

seen that, there are many days when the system fails to meet the load demand from January to March and from November to December. And the LPSP of these periods are 18.3% and 21.5% respectively, while the LPSP from April to October is only 5.3%. This is because the output power of the wind farm from April to October is significantly higher than other times.

### E. Comparisons and Analysis of Optimization Results

#### 1) Comparisons Between Different Operational Strategies

The optimization results comparison of different operational strategies under the same system capacity is shown in Table VII. It can be seen that the LCOE and LPSP of strategy (1) are lower than those of others, which indicates that Strategy (1) is the optimal coordinated operation strategy.

TABLE VII  
COMPARISONS OF STRATEGIES

| Optimization objective | Strategy (1) | Strategy (2) | Strategy (3) | Strategy (4) |
|------------------------|--------------|--------------|--------------|--------------|
| LCOE (\$/MWh)          | 118.6        | 126.0        | 124.5        | 132.1        |
| LPSP (%)               | 9.89         | 15.18        | 14.11        | 19.07        |

#### 2) Comparisons Between PV-wind-PHS System and PV-wind-CSP-PHS System

Adding the PHS station to the PV-wind hybrid power system can effectively adjust the PV and wind power fluctuations. However, due to the limitation of the geographical location and water resources in some areas, the maximum installed capacity of the PHS station is not large enough to regulate the output power. It is evident as shown in Table VIII that the LPSP of the PV-wind-PHS system is greater than 10%, which cannot meet the specified requirements. The CSP plant with a TES system can realize effective peak load regulation. So, the PV-wind-CSP-PHS system, which is used as the regulating power supply by the CSP plant and PHS station, effectively alleviate the above shortcomings. As shown in Table VIII, although the

TABLE VIII  
COMPARISONS BETWEEN HYBRID POWER SYSTEMS

| Hybrid power system | $C_W$ (MW) | $C_{PV}$ (MW) | $C_{CSP}$ (MW) | $C_{PHS}$ (MW) | LCOE (\$/MWh) | LPSP (%) |
|---------------------|------------|---------------|----------------|----------------|---------------|----------|
| PV-wind-PHS         | 120        | 392.6         | 0              | 130            | 107.2         | 13.87    |
| PV-wind-CSP-PHS     | 120        | 392.6         | 25             | 130            | 118.6         | 9.89     |
| PV-wind-CSP         | 120        | 392.6         | 89.8           | 0              | 146.6         | 9.89     |

LCOE of the PV-wind-CSP-PHS system is increased by 11.4 \$/MWh compared to the PV-wind-PHS system, its LPSP is reduced from 13.87% to 9.89%. It is obvious that the PV-wind-CSP-PHS system can better suppress power fluctuation.

The representative day power output curves of the PV-wind system, PV-wind-PHS system and PV-wind-CSP-PHS system are shown in Fig. 9. It can be seen that, the output power of the wind PV system is less than the rated load at 1:00–9:00 and 22:00–24:00, and is greater than the rated load at 9:00–22:00. In the PV-wind-PHS system, the PHS station starts to pump water energy at 9:00, and discharges water to generate electricity at 22:00–24:00 and 1:00–4:00, so it is only at 4:00–9:00 that the load demand cannot be met. The PV-wind-CSP-PHS system includes both the PHS station and CSP plant with a TES system. The excess power, which cannot be absorbed by the PHS station after complete energy conversion by the electric heater, is stored in the TES system. When the PHS station cannot continue to generate electricity, the steam turbine unit will generate electricity as a supplement. Therefore, the PV-wind-CSP-PHS system can meet the load demand in most cases.

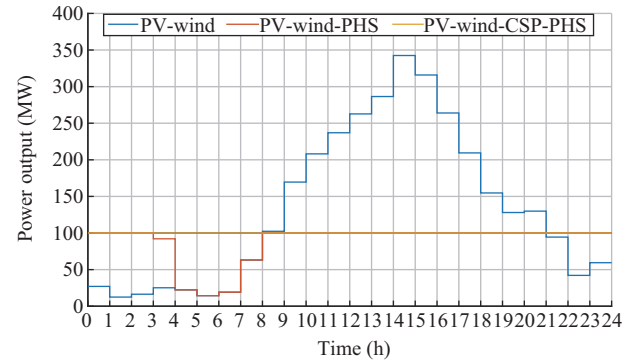


Fig. 9. Power output curve of representative day.

#### 3) Comparisons Between the PV-wind-CSP-PHS System and PV-wind-CSP System

In the PV-wind-CSP hybrid power system, the excess electricity of the PV plant and wind farm can be converted into thermal energy by EH and absorbed by the TES system of the CSP plant. However, the construction cost of the CSP plant is high. If its installed capacity is too large, the LCOE of the system will rapidly increase. Table VIII presents the comparison between the PV-wind-CSP-PHS system and the PV-wind-CSP system. Under the same LPSP, the capacity of the CSP plant in the PV-wind-CSP system increased from 25 MW to 89.8 MW, and the LCOE increased from 118.6 \$/MWh to 146.6 \$/MWh. Obviously, the PV-wind-CSP-PHS system is more cost-effective than the PV-wind-CSP system.

As can be seen in Fig. 10, the power supply of the PV-wind-CSP system at 1:00–5:00 and 22:00–24:00 is larger than that of the conventional power generation system, but still does not meet the load demand, while the power supply of the PV-wind-CSP-PHS system absolutely meets the load demand. Therefore, the PV-wind-CSP-PHS system has better power supply reliability.

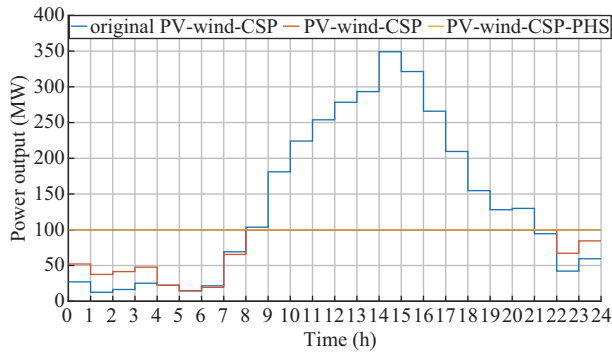


Fig. 10. Power output curve of representative day.

## V. CONCLUSION

This paper proposes a solar-wind-hydro hybrid power system with thermal energy storage and pumped hydro storage (PV-wind-CSP-PHS) in view of the geographical limitations of the PHS station. The size optimization of the hybrid power system with double energy storages under optimal coordinated operation strategy are investigated. The following conclusions can be drawn based on the results.

(1) The coordinated operational strategy of double energy storages is proposed. The comparisons of different coordinated operational strategies show that the levelized cost of energy (LCOE) and loss of power supply probability (LPSP) of the operational strategy with charging and discharging priorities both for PHS are 118.6 \$/MWh and 9.89% respectively and less than those of other strategies, which demonstrates that the aforementioned strategy is the optimal coordinated operational strategy.

(2) The seasonal uncertainties of solar energy and wind speed are considered based on scenario-based analysis, and the multi-objective robust size optimization is investigated to improve the robustness of the proposed system.

(3) The effectiveness of double energy storages is investigated. The optimal LPSP of the PV-wind-PHS system is 13.87%, which cannot satisfy the predefined reliability constraint ( $LPSP < 10\%$ ). However, the introduction of the CSP plant can effectively reduce LPSP to 9.89%, which indicates that the PV-wind-CSP-PHS system has better reliability performance. The LCOE of the PV-wind-CSP-PHS system is decreased by 19.1% compared to the PV-wind-CSP system under the same LPSP, which indicates that the proposed system is more cost-effective.

In summary, when the maximum capacity of PHS is limited, the CSP-PHS double energy storages under an optimal coordinated operational strategy and installed capacity can effectively regulate renewables power to ensure better reliability and cost-effective performance.

## APPENDIX A

The wind power curve of the wind turbine applied in this paper is shown in Fig. A1.

The I-V curve of the PV modules applied in this paper is shown in Fig. A2.

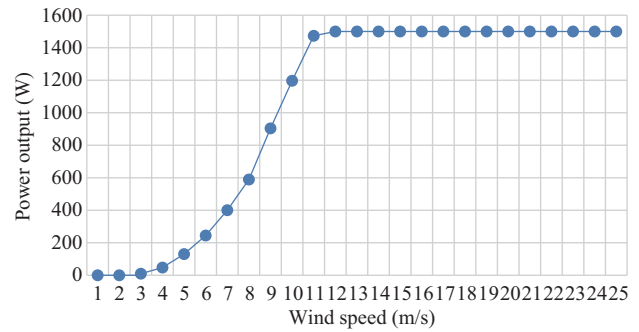


Fig. A1. The wind power curve of UP77-1500 model [42].

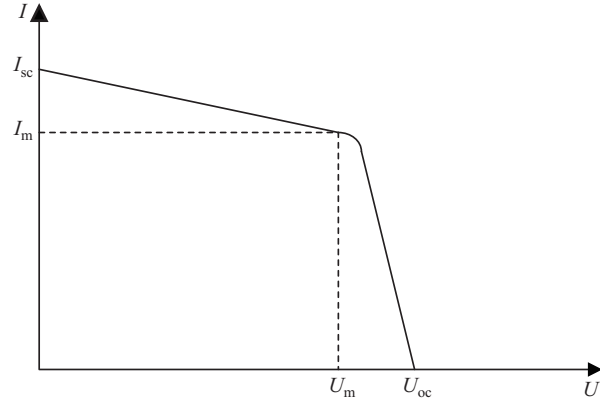


Fig. A2. The I-V curve of SFM260W model [43].

TABLE AI  
PARAMETERS OF SFM260W MODEL

| Parameters                 | Values  | Parameters                 | Values |
|----------------------------|---------|----------------------------|--------|
| Voltage at maximum power   | 30.23 V | Current at maximum power   | 8.61 A |
| Open-circuit voltage       | 32.6 V  | Short-circuit current      | 9.47 A |
| Power tolerance (positive) | +3%     | Power tolerance (negative) | -3%    |

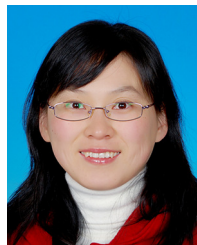
In Fig. A2,  $U_m$  is the peak voltage;  $U_{oc}$  is the open circuit voltage;  $I_m$  is the peak current;  $I_{sc}$  is the short-circuit current.

The parameters of the SFM260W model are presented in Table AI.

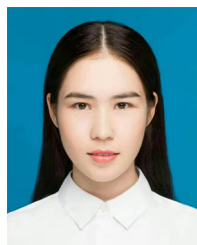
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